

#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
-- PATENT APPLICATION --

Applicant(s): Kenneth Ostrom, Tim Ng, and  
Clifford Duong  
Serial No.: To Be Assigned  
Filed: August 31, 2001  
TITLE: APPARATUS AND SYSTEM FOR PROVIDING TRANSIENT  
SUPPRESSION POWER REGULATION

Attorney Docket No.: 35706.2300  
Group Art Unit: To Be Assigned  
Examiner: To Be Assigned



INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.97-1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

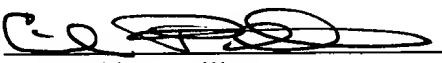
In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the document listed on the attached Form PTO-1449. One copy of the cited document is submitted herewith. Applicants respectfully submit that all pending claims are patentable over the foregoing reference. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed document as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove the document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(b)(3), before the mailing date of a first

Office action on the merits. Nevertheless, the Assistant Commissioner is hereby authorized to charge any fees which may be found to be required for this Information Disclosure Statement to Deposit Account No. 19-2814, **for which purpose a duplicate copy of this sheet is attached.**

Respectfully submitted,

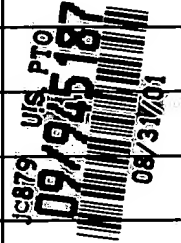
By:  8/31/01  
Cynthia L. Pillote  
Reg. No. 42,999

Enclosures: PTO-1449  
Copy of Cited References

**SNELL & WILMER, L.L.P.**  
One Arizona Center  
400 East Van Buren  
Phoenix, AZ 85004-0001  
(602) 382-6296

|                                                                                    |                                                        |                    |
|------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> | Docket Number (Optional)                               | Application Number |
|                                                                                    | 35706.2300                                             | To Be Assigned     |
|                                                                                    | Applicant(s)<br>Ken Ostrom, Tim Ng, and Clifford Duong |                    |
|                                                                                    | Filing Date                                            | Group Art Unit     |
|                                                                                    | August 31, 2001                                        | To Be Assigned     |

| U.S. PATENT DOCUMENTS |     |                 |          |             |       |          |                            |
|-----------------------|-----|-----------------|----------|-------------|-------|----------|----------------------------|
| *EXAMINER INITIAL     | REF | DOCUMENT NUMBER | DATE     | NAME        | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|                       |     | 6,028,417       | 02/22/00 | Ang, et al. |       |          |                            |
|                       |     | 5,629,608       | 05/13/97 | Budelman    |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |
|                       |     |                 |          |             |       |          |                            |



| FOREIGN PATENT DOCUMENTS |     |                 |      |         |       |          |             |    |
|--------------------------|-----|-----------------|------|---------|-------|----------|-------------|----|
|                          | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|                          |     |                 |      |         |       |          | YES         | NO |
|                          |     |                 |      |         |       |          |             |    |
|                          |     |                 |      |         |       |          |             |    |
|                          |     |                 |      |         |       |          |             |    |
|                          |     |                 |      |         |       |          |             |    |
|                          |     |                 |      |         |       |          |             |    |
|                          |     |                 |      |         |       |          |             |    |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |  |  |
|------------------------------------------------------------------------|--|--|
|                                                                        |  |  |
|                                                                        |  |  |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.